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AND AGENCIES

August 19, 2002

Assistant Commissioner for Patents  
**Box Missing Parts**  
Washington, DC 20231

Re: Response to Notice to File Missing Parts  
Appl. No.: 09/978,178  
Filed: October 17, 2001  
Title: **Peptides Derived from the Human  
Amyloid Precursor Protein**  
Inventor(s): Rogers, Jack  
Atty. Dkt.: 7570/73272

Dear Sir:

In response to the **Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted** dated **May 17, 2002**, Applicant submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. A copy of the Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted (2 pages);
2. Petition for Extension of Time Under 37 C.F.R. § 1.136 (1 page);
3. Declaration Under 37 C.F.R. § 1.63 and Power of Attorney executed by inventor Jack Rogers (3 pages);

Assistant Commissioner for Patents

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4. Assignment to The Brigham and Women's Hospital, Inc., executed by inventor Jack Rogers (2 pages), the recordation of which is respectfully requested;
5. Form PTO-1594, Recordation Form Cover Sheet (1 page);
6. Amendment to Comply with Sequence Listing Rules (2 pages);
7. A paper copy of the corrected Sequence Listing (2 pages);
8. A 3.5 inch diskette containing a computer readable form of the corrected Sequence Listing;
9. Change of Address Notice;
10. The Commissioner is hereby authorized to charge the following fees in the amount of \$1,084.00 (**Small Entity Status is claimed**) to our Deposit Account No. 06-1135 under Order No. 7570/73272:
  - \$ 370.00 Basic Filing Fee (37 C.F.R. § 1.16(a)),
  - 414.00 46 Claims in excess of 20 (37 C.F.R. § 1.16(c)),
  - 140.00 Multiple Dependent Claim Fee (37 C.F.R. § 1.16(d)),
  - 65.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16(e)),
  - 40.00 Assignment recordation fee (37 C.F.R. § 1.21(h)),
  - 55.00 Fee for a 1-month extension of time (37 C.F.R. § 1.17(a)); and
11. Form indicating receipt by the PTO of the above items.

The Commissioner is hereby authorized to charge any fee deficiency or credit any overpayment to our Deposit Account No. 06-1135 under Order No. 7570/73272.

It is respectfully requested that the enclosed Receipt be stamped with the date the enclosed documents are received by the PTO and that it be returned as soon as possible.

Very truly yours,

FITCH, EVEN, TABIN & FLANNERY



Michael A. Sanzo  
Reg. No. 36,912  
Attorney for Applicant

MAS:ct  
Enclosures



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 2023  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09 978.178	10/17/2001	Jack Rogers	81994/282427

PILLSBURY MADISON & SUTRO LLP  
Intellectual Property Group  
Ninth Floor  
1100 New York Avenue, N.W.  
Washington, DC 20005-3918



## CONFIRMATION NO. 3914 FORMALITIES LETTER

Date Mailed: 05/17/2002

### 08/22/2002 AOSMAN1 00000010 061135 09978178 NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01 FC:201 370.00 CH  
02 FC:203 414.00 CH  
03 FC:204 140.00 CH  
04 FC:205 65.00 CH

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

#### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- Additional claim fees of **\$1108** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$1978** for a Large Entity

- **\$740** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$1108**
  - **\$828** for **46** total claims over 20.
  - **\$280** for multiple dependent claim surcharge.

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*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY